



PTO/SB/08a/b (08-03)
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Substitute for form 1449A/B/PTO			Complete if Known		
			Application Number	10/014,112	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Filing Date	December 11, 2001	
			First Named Inventor	Harold A. Ludtke	
			Art Unit	3621	
			Examiner Name	S. A. Cangialosi	
			Attorney Docket Number	SONY 3.0-020	
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
SC	AA	US-2002/0147914	10-10-2002	Arnold	
	AB	US-3,741,214	01-10-2001	Smith	
SC	AC	US-4,766,293	08/1988	Boston, Vincent	
SC	AD	US-5,056,019	10-08-1991	Schultz, et al.	
SC	AE	US-5,173,851-A	12/1992	OFF ET AL.	
SC	AF	US-5,256,863-A	10/1993	FERGUSON ET AL.	
SC	AG	US-5,436,972	07-25-1995	Fischer	
SC	AH	US-5,537,314	07-16-1996	MARK W. KANTER	
SC	AI	US-5,545,139	08-13-1996	Kriesel	
SC	AJ	US-5,557,541	09-17-1996	Schulhof et al.	
SC	AK	US-5,561,709	10-01-1996	Remillard	
SC	AL	US-5,650,761	07/1997	Gomm et al.	
SC	AM	US-5,710,887	01-20-1998	Chelliah, et al.	
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SC	AO	US-5,778,068	07-07-1998	Johnson et al.	
SC	AP	US-5,809,212	09-15-1998	Shasha	
SC	AQ	US-5,815,665	09-29-1998	Teper et al.	
SC	AR	US-5,825,876	10-20-1998	Peterson, Jr.	
SC	AS	US-5,878,139	03-02-1999	Rosen	
SC	AT	US-5,878,235	03-02-1999	Porterfield et al.	
SC	AU	US-5,884,271	03-16-1999	Pitroda	
SC	AV	US-5,884,272	03-16-1999	Walker et al.	
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SC	AX	US-5,897,622	04-27-1999	Blinn, et al.	
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SC	AZ	US-5,923,016	07-13-1999	Fredregill, et al.	
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Examiner Signature	S. Cangialosi			Date Considered	6/05

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SC	AU1	US-6,129,274-A	10/2000	SUZUKI, HIKANU	
SC	AV1	US-6,138,911	10-31-2000	Fredregill, et al.	
SC	AW1	US-6,141,754	10-31-2000	Choy	
SC	AX1	US-6,148,241	11-14-2000	Ludtke et al.	
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SC	AZ1	US-6,163,772	12-19-2000	Kramer, et al.	
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SC	AB2	US-6,279,112-B1	08/2001	OTOOLE ET AL.	
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SC	AD2	US-6,356,905	03-12-2002	Gershman et al.	
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		Country Code ² -Number ³ -Kind Code ⁴ (if known)				
	BA	TW-87407650		02-01-1999	Ritter	
	BB	TW-351799		02-04-1999	Rudolf Ritter	
	BC	EP-0917119A2		05-19-1999		

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SC	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".	
SC	CB	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
SC	CC	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	
	CD	DRURY, Demand Jumps For High-Tech ID Producer; Business First, 22 October 2001, Vol. 18, No. 3, page 1.	
	CE	PACK: Traditional Retailers Plan Interactive Stores; Orlando Sentinel, May 25, 1995, p. 1.	
SC	CH	U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method And System For Executing Deferred Transactions".	
SC	CK	Co-pending Patent No. 09/466,965, Authentication System, Fingerprint Identification Unit, and Authentication Method, filed December 20, 1999.	

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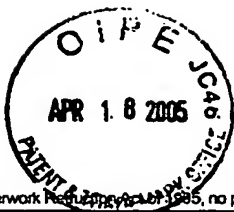
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JLC	AA	US-2001/00449061-A1	11-22-2001	KANEVSKY et al.	
JLC	AD	US-5,280,527	01/1994	Gullman et al.	
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JLC	AW	US-6,270,011-B1	08-07-2001	Gottfried	
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JLC	AA1	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.	

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